## Kiasan/KUnit: Automatic Test Case Generation and Analysis Feedback for Open Object-Oriented Systems

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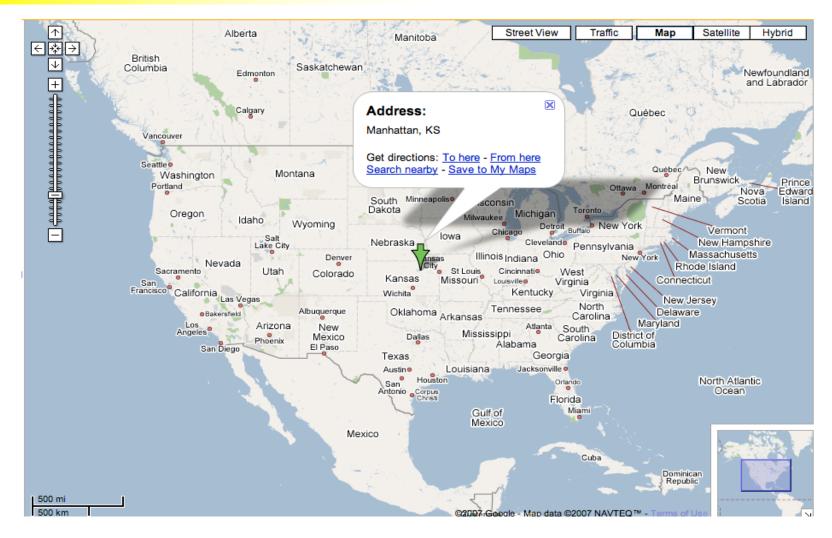
John Hatcliff

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## **Kansas State University**

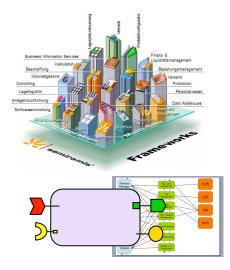
#### In the interest of full disclosure...

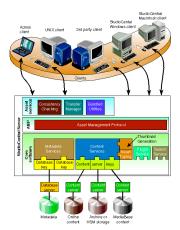


## **Trends In Software Development**

#### Building Software from Reusable Units

- Frameworks
  - collection of units targeted to a particular application domain
  - Apache Struts, JavaServerFaces, CLSA
- Component Middleware
  - EJB, CCM, nesC, Bonobo
  - dictates a structure notion of reusable component
  - provides extensive infrastructure and services
- Software Product Lines
  - families of similar systems
  - reduce development time and costs through systematic reuse
  - carefully managed set of assets with clear component boundaries

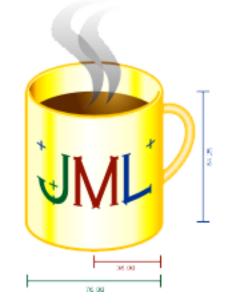




## **Specification Language?**

#### Java Modeling Language (JML) -- Software Contracts for Java

- Developed by Gary Leavens and colleagues
- Standard specification language for Java within the research community
  - over 100 research papers related to JML in the last seven years
- Tool support -- multiple research tools
  - static analysis, theorem proving, and runtime checking

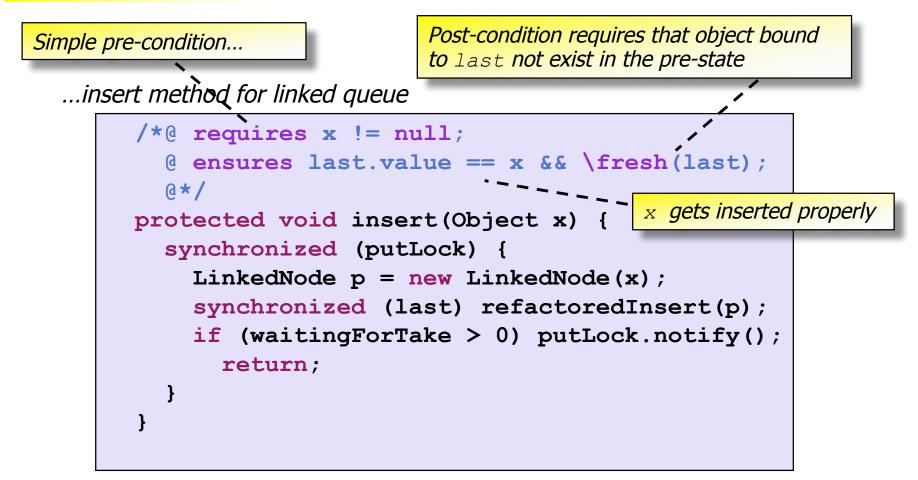


(also see Spec# for C# from

Microsoft Research)

# **JML Software Contracts**

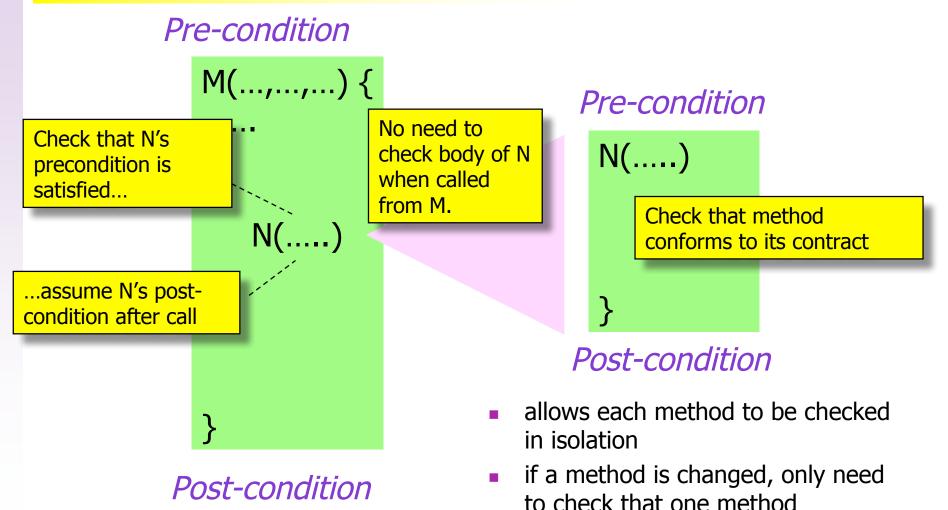
#### Lightweight Contracts



linked queue from java.util.concurrent (actually, older version from Doug Lea)

## **Benefits of Contracts**

Contracts enable compositional checking



## JML Checking Tools - ESC/Java

Tools like ESC/Java have made good progress toward automatic checking of lightweight JML contracts...

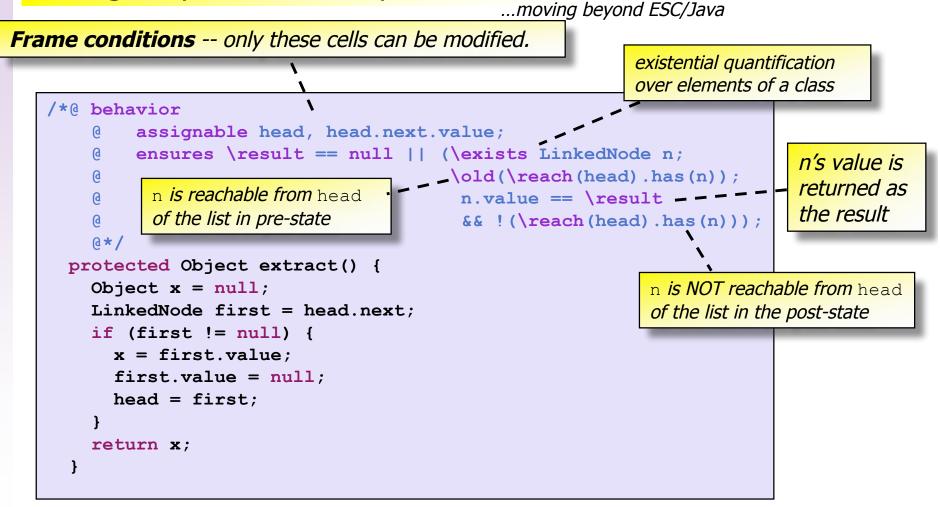
- Applied to large code bases
- Supports automated checking of *lightweight* method contracts
- Effective for statically eliminating many common runtime errors such as null-pointer exceptions, array bounds violations

#### But a number of limitations remain...

- Don't handle heap-allocated data very well
- Feed back (e.g., error messages) provided to the user is quite poor
- No direct connection to other quality assurance techniques

# **JML Software Contracts**

#### Strong Properties of Heap-allocated Data



linked list from java.util.concurrent

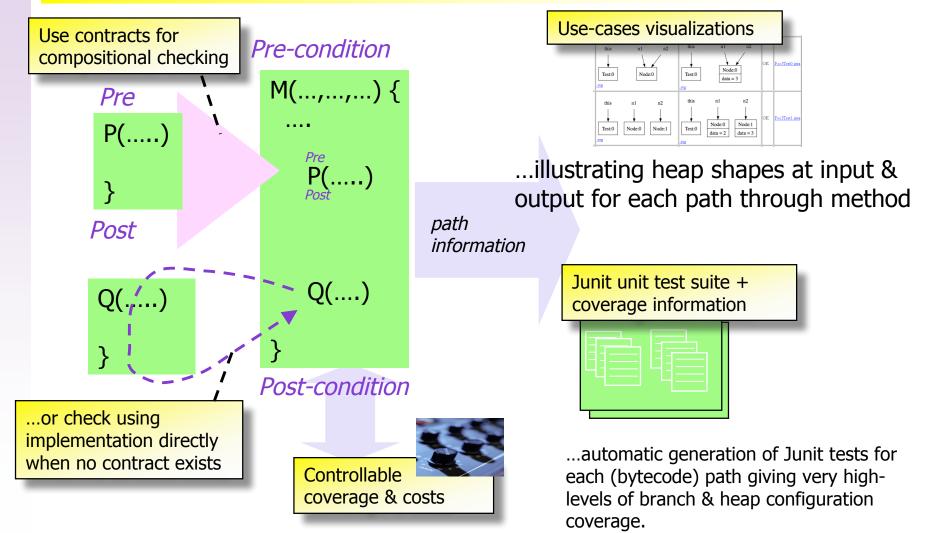
# **A Skeptic's Questions**



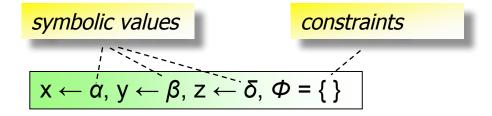
- It takes effort to write these contracts -- what's the payoff?
  - please give me more than one way to leverage a contract!
- How can your tool and methodology be incrementally introduced into my development workflow?
- How does your approach integrate with other QA techniques my team is already trained for?
- Does this stuff scale?

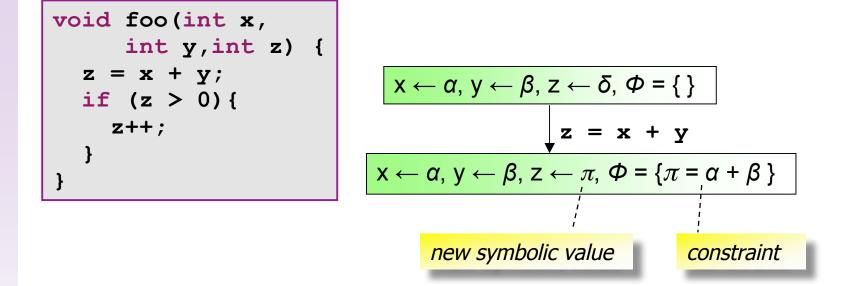
# Kiasan -- In a Nutshell

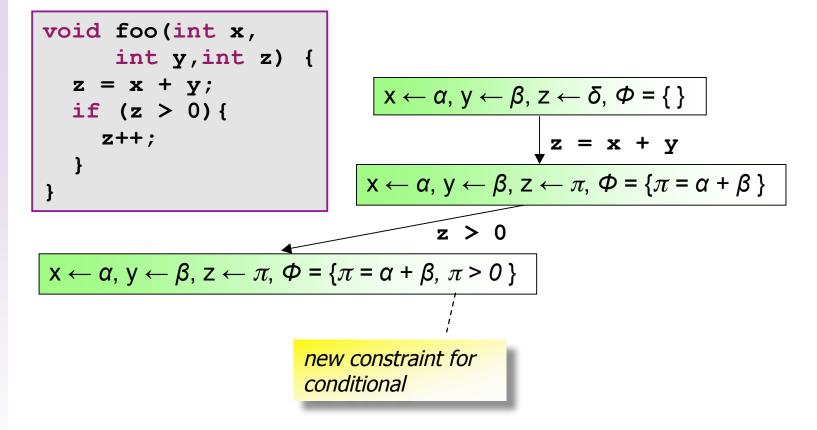
Contract checking for Java units with extensive heap data

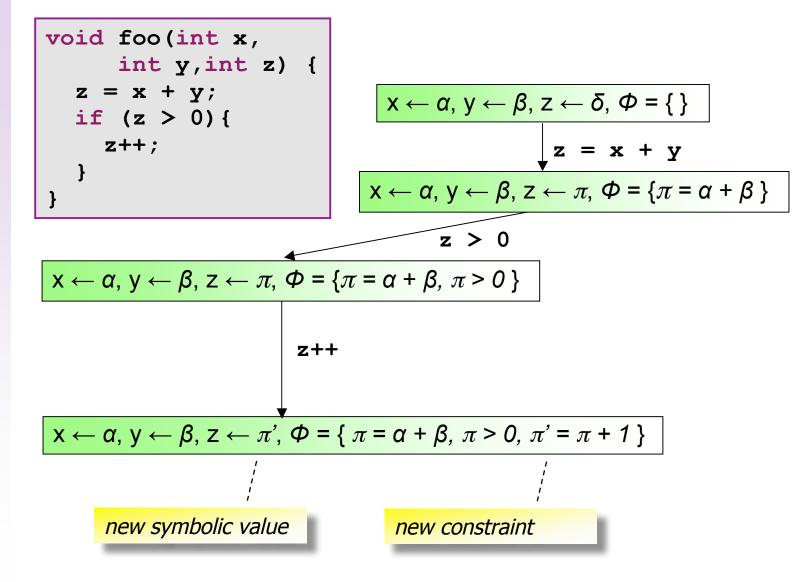


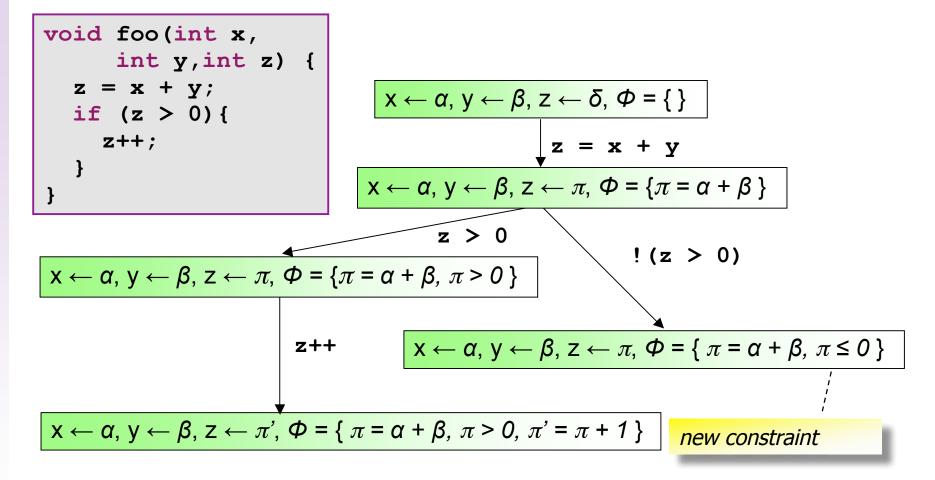
<pre>void foo(int x,</pre>	{
z = x + y;	
if $(z > 0)$ {	
z++;	
}	
}	





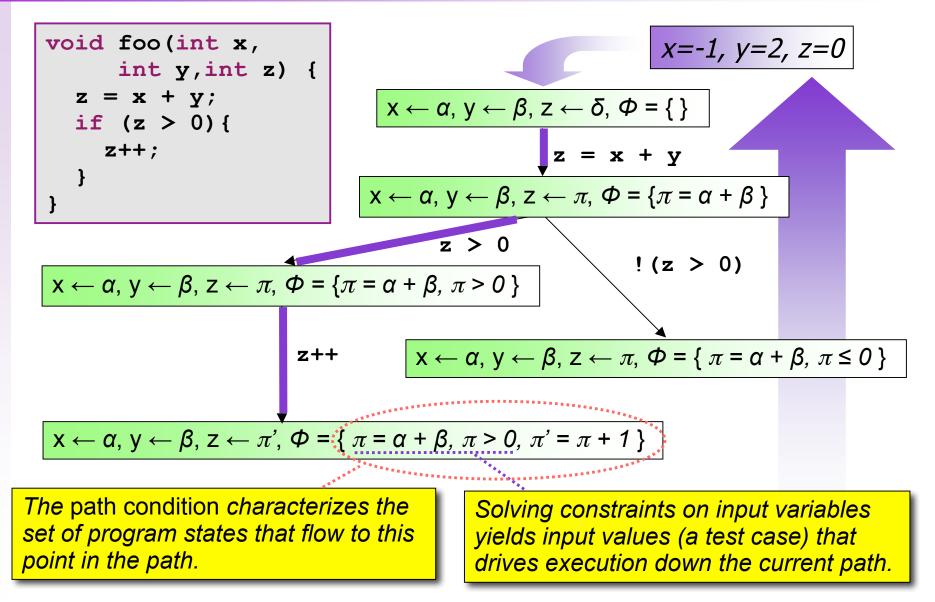






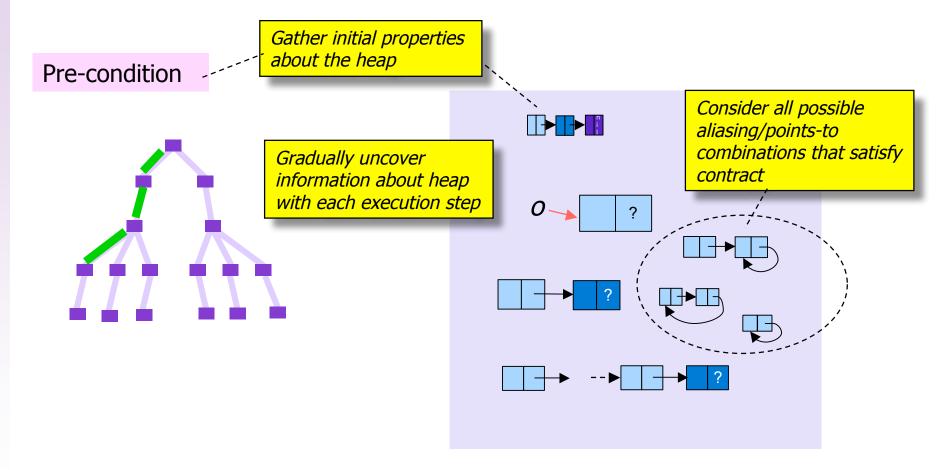
...symbolic execution characterizes (theoretically) infinite number of real executions!

### **Solving Constraints**



# **Dealing with Heap Data**

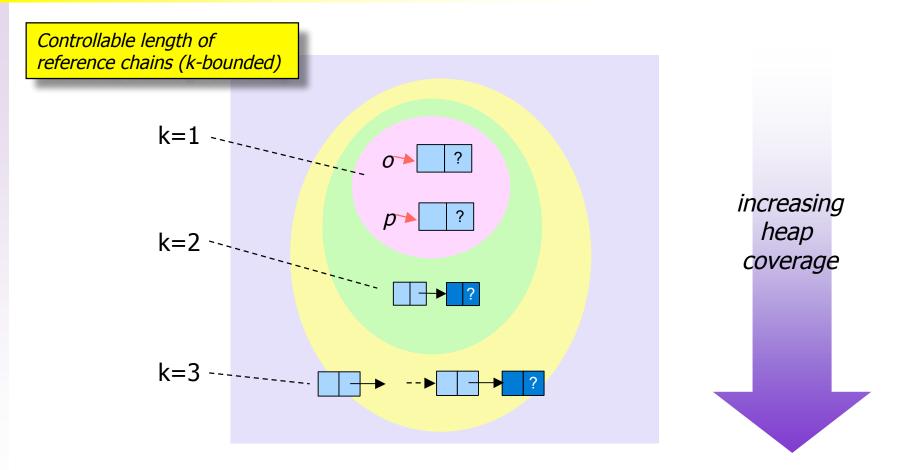
#### Lazily "discover" possible shapes of data in the heap



...based on Khurshid, Pasareanu, and Visser (TACAS 2003) -- NASA JPF symbolic execution algorithm

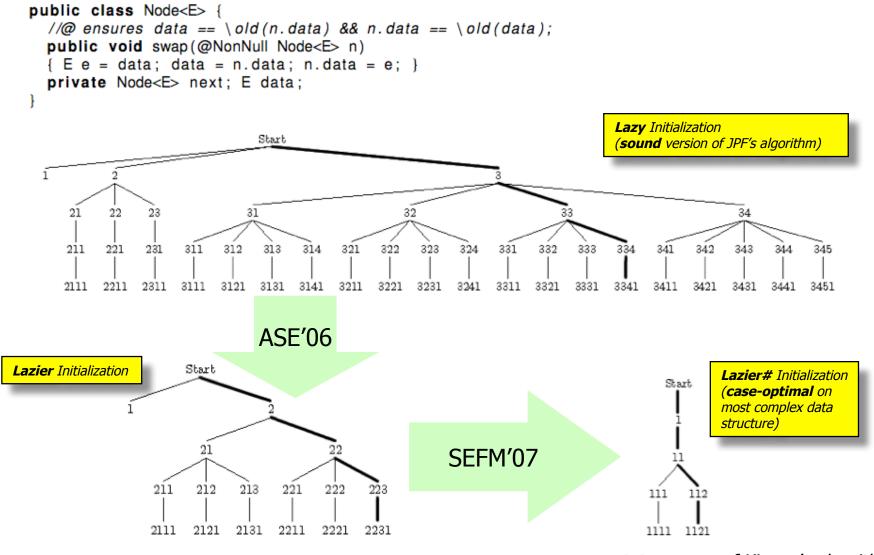
# **Dealing with Heap Data**

#### Tuneable bounds on the state-space explored



Within the chosen bound, the analysis is complete (no false alarms) and sound (all errors that can be exposed with data size will be found)

## **Efficiency of Kiasan's Algorithms**



...state spaces of Kiasan's algorithms

## **Kiasan without Contracts**



My developers are not going to be inclined to use this tool if they have to start out writing a bunch of complicated contracts.

How can you introduce Kiasan gradually into their workflow?

## Example

```
void sort(int[] data) {
   boolean isSorted;
   int numberOfTimesLooped = 0;
  do {
    isSorted = true;
    for (int i = 1; i <= data.length - numberOfTimesLooped; i++) {</pre>
       if (data[i] < data[i - 1]) {</pre>
          int tempVariable = data[i];
          data[i] = data[i - 1];
          data[i - 1] = tempVariable;
          isSorted = false;
           }
     }
     numberOfTimesLooped++;
  } while (!isSorted);
```

J

## Example

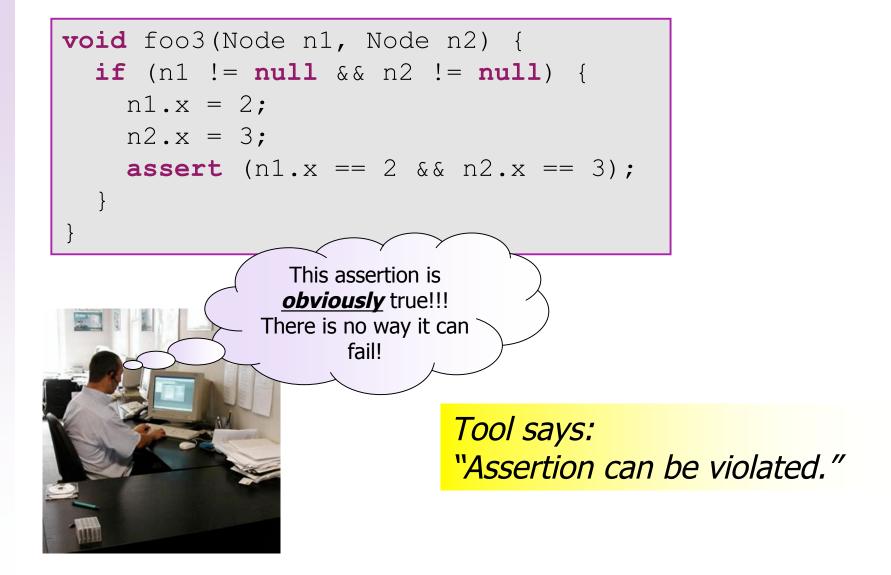
```
void sort(int[] data) {
   boolean isSorted;
   int numberOfTimesLooped = 0;
  do {
    isSorted = true;
    for (int i = 1; i <= data.length - numberOfTimesLooped; i++) {</pre>
       if (data[i] < data[i - 1])<sup>*</sup>{---.
          int tempVariable = data[i];
                                            Kiasan detects
          data[i] = data[i - 1];
                                            possible null-
          data[i - 1] = tempVariable;
                                            dereference
          isSorted = false;
           }
     }
     numberOfTimesLooped++;
  } while (!isSorted);
```

## Example

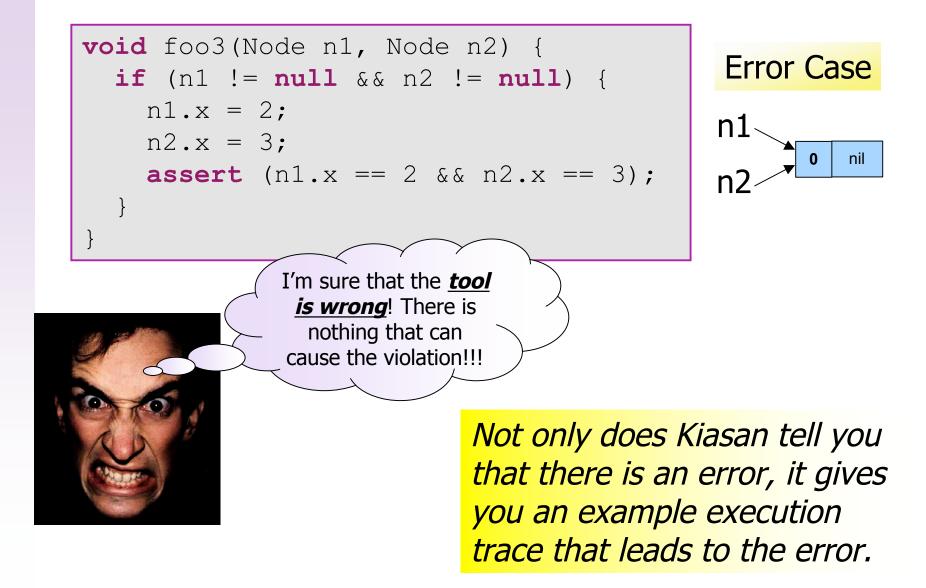
```
void sort(int[] data) {
   boolean isSorted;
   int numberOfTimesLooped = 0;
  do {
    isSorted = true;
    for (int i = 1; i <= data.length - numberOfTimesLooped; i++) {</pre>
       if (data[i] < data[i - 1]) {</pre>
          int tempVariable = data[i];
                                           Kiasan detects array
          data[i] = data[i - 1];
                                           index out of bounds
          data[i - 1] = tempVariable;
                                           (i.e., i can be equal to
          isSorted = false:
                                           data.length)
     }
     numberOfTimesLooped++;
  } while (!isSorted);
```

}

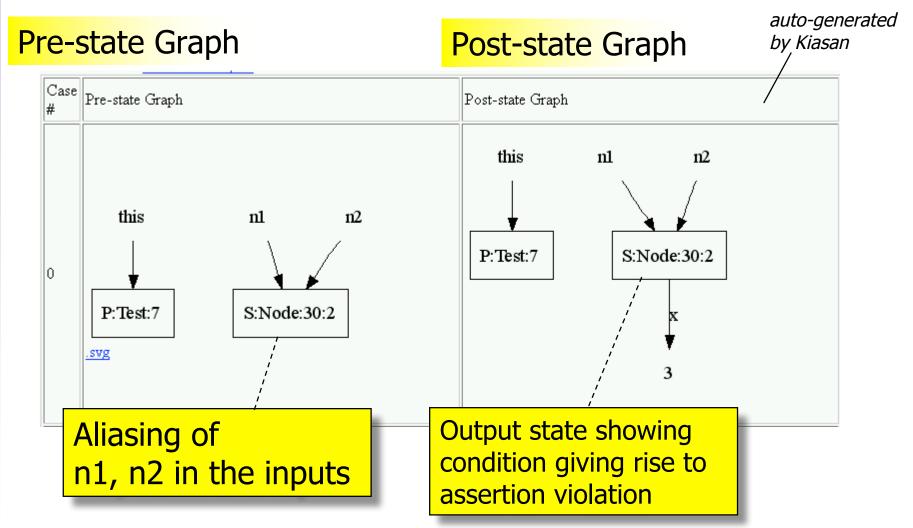
### **Reasoning about Heap Data**



## **Providing Diagnostic Information**

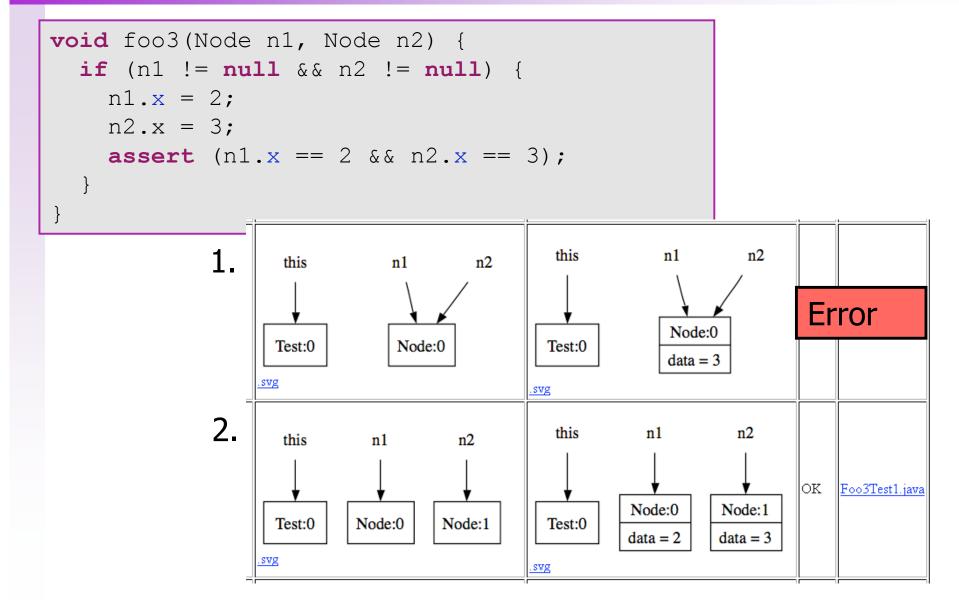


## **Providing Diagnostic Information**

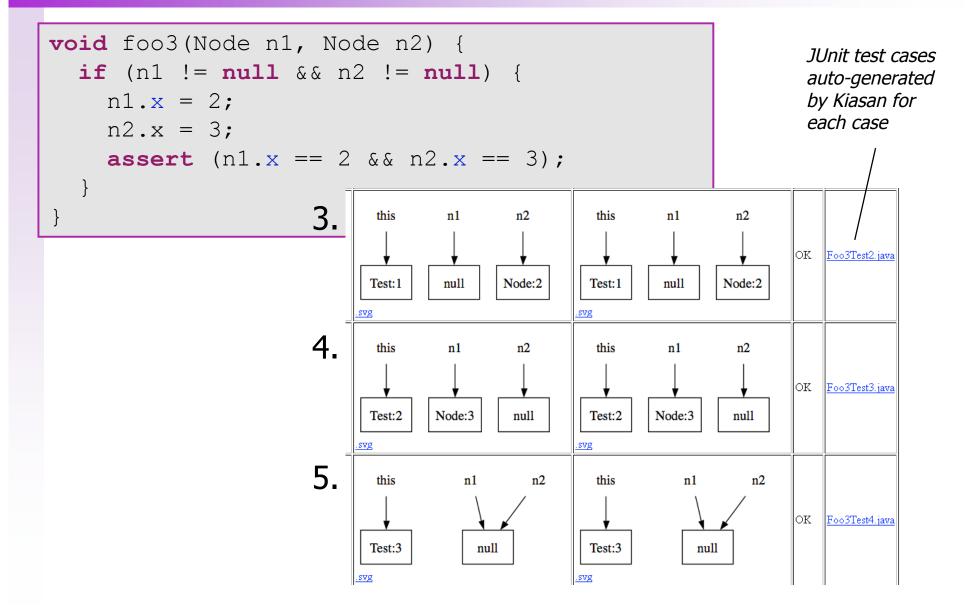


Kiasan provides pairs of states (pre,post) associated with a path leading to the error state

## **All Paths for Foo3 Example**



## **All Paths for Foo3 Example**



## **Kiasan with Contracts**



"Without specifications, the code is trivially correct !

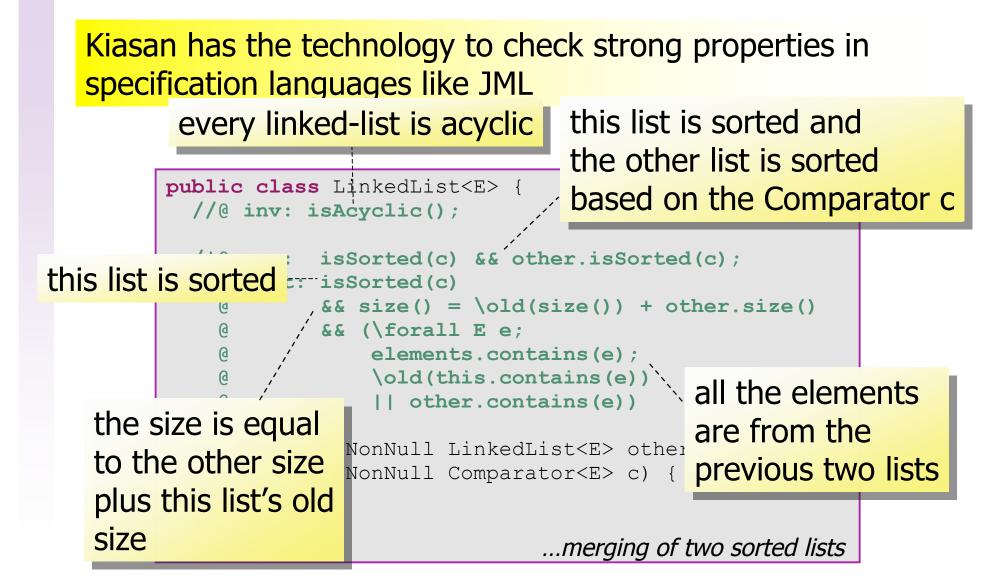
I don't use anyone's service unless they provide a contract"

## **Strong Property Checking**

Kiasan has the technology to check strong properties in specification languages like JML

```
public class LinkedList<E> {
  //@ inv: isAcyclic();
  /*@ pre: isSorted(c) && other.isSorted(c);
    @ post: isSorted(c)
     && size() = \langle old(size()) + other.size() \rangle
    Ø
    @ && (\forall E e;
    0
               elements.contains(e);
    9
                \old(this.contains(e))
    0
                || other.contains(e))
    @*/
  void merge(@NonNull LinkedList<E> other,
             @NonNull Comparator<E> c) {
                             ...merging of two sorted lists
```

## **Strong Property Checking**



## **Heavyweight & Lightweight**

Actually, there are number of reasons why you might be willing to write specs like that, but for now I'll simply point out that one can also have useful <u>*lightweight*</u> <u>specifications</u>.

I can see how that would be helpful in high-assurance applications, but what about when I don't need that effort?

# **Samples of Design Intentions**

### Specifying common patterns

#### Null-ness

class LinkedList { @NonNull LinkedNode head; }

class LinkedList { @MaybeNull LinkedNode head; }

### Null-ness of a container's element

```
class TreeNode {
  @NonNull @NonNullElements Set<TreeNode> children;
}
```

# **Samples of Design Intentions**

#### Specifying common patterns

#### Cyclic/Acyclic

class LinkedList { @Acyclic LinkedNode head; }

OR

@Acyclic("head") class LinkedList { ... }

#### Tree/Graph

```
@Tree("children") class TreeNode {
   Set<TreeNode> children;
}
```

# **Executable Specifications**

If you don't like JML, you can write your own specification predicate directly as a pure (no non-local side effects) Java method...

```
boolean repOK(BinaryNode t) {
  return repOK(t,new Range());
}
boolean repOK(BinaryNode t, Range range) {
  if (t == null) return true;
  if (!range.inRange(t.element)) return false;
  return repOK(t.left,range.setUpper(t.element));
        && repOK(t.right,range.setLower(t.element));
        ...invariant for binary search tree
```

...not elegant, but it was effective for the author

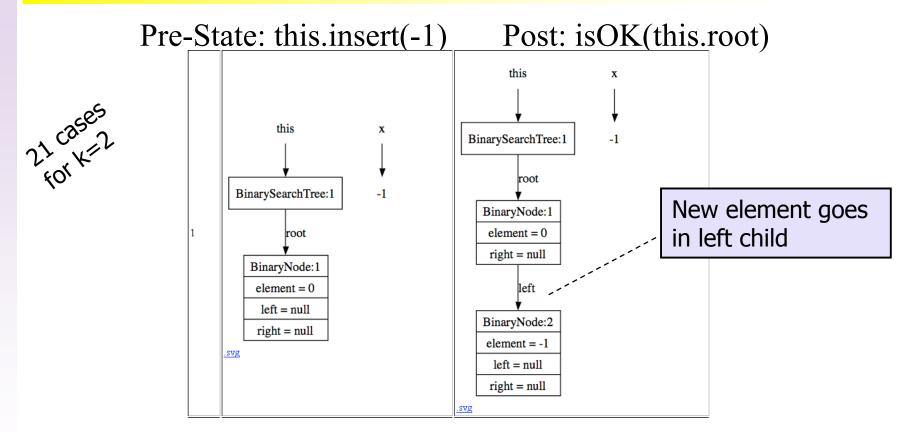
# **Dealing with Heap Data**

II. Specify that invariant should be checked on input & output

```
QAssertion (QCase (
    pre = "repOK(root)",
    post = "repOK(root)"))
public void insert( int x ) {root = myins( x, root ); }
@Helper
private BinaryNode myins( int x, BinaryNode t ) {
  if ( t == null )
    t = new BinaryNode( x, null, null );
  else if( x < t.element)</pre>
    t.left = myins( x, t.left );
  else if( x> t.element )
    t.right = myins( x, t.right );
  else
    ; // Duplicate; do nothing
  return t;
```

## **Dealing with Heap Data: Results**

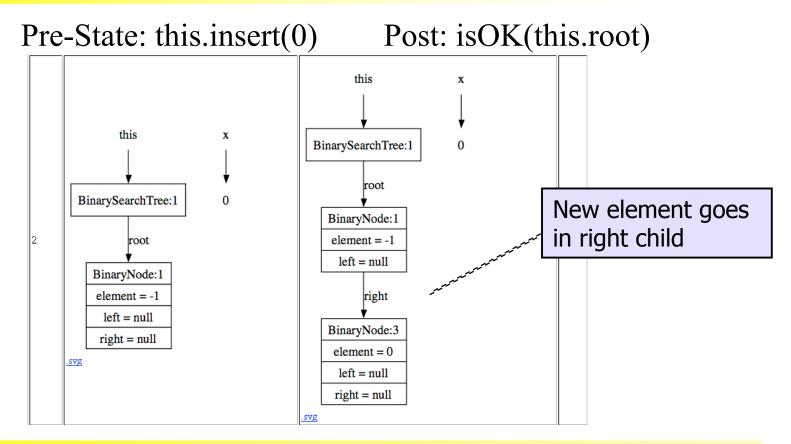
III. Invoke Kiasan to check method and/or generate tests



Tool verifies that pre/post conditions are satisfied and gives pre/post-state pairs for each path through the method

## **Dealing with Heap Data: Results**

III. Invoke Kiasan to check method and/or generate tests



Think about the effort if one has to generate these test scenarios manually!

## **Scalability & Performance**



#### Short answer:

Significantly better than related (publicly available) techniques that use symbolic execution technology

## **Example Code Bases**

#### **Object-based** examples

- AVL Tree
- Binary Search Tree
- Red-black Tree
- Double Linked List
- Linked List
- GC
- Stack (List Impl)

...plus additional examples of data structures containing scalar data

#### Array-based examples

- Binary Heap
- Insertion Sort
- Shell Sort
- Stack (Array Impl)
- Array Partition
- Disjoint Set (original/fast)
- Vector
- Triangle classification
- Absolute value

...the largest collection of examples considered for OO symbolic execution

### **Research Questions**

- Can this method obtain high values of (branch) coverage?
- What value of k-bound is typically needed to obtain 100% branch coverage?
- What sizes of test suites are generated for different values of k?
- What's typical time required on runs where 100% branch coverage is reached?



## Data



2.4 GHz Opteron Linux w/ 512 MB Java heap

Data for the most complicated examples...

Cla	Class		Test Cases	Branch	Bytecode	Total	CVC	$\Rightarrow_{\mathcal{A}}^{-1} \&$	JUnit	GraphViz	
	Method		Generated	Coverage	Coverage	(-dot)	Lite	PÔOC	Gen.	dot (+)	
F		1	4	6/6=100%	63/63=100%	1.7s	0.1s	0.3s	0.2s	1.2s	
c,	insert	2	21	6/6=100%	63/63=100%	5.8s	1.3s	0.9s	0.5s	4.3s	
BinarySearchT		3	236	6/6=100%	63/63 = 100%	1.8m	1.2m	15.6s	1.1s	31.6s	
yS	remove	1	4	8/16=50%	68/113 = 60%	1.2s	0.0s	0.2s	0.0s	1.3s	
nar		2	21	14/16 = 87%	104/113 = 92%	7.4s	1.7s	1.2s	0.3s	3.9s	
Bi		3	236	15/16 = 93%	111/113 = 98%	1.7m	1.1m	13.8s	1.1s	34.1s	
	find	1	4	10/10 = 100%	60/60 = 100%	1.8s	0.0s	0.4s	0.2s	1.3s	
		2	21	10/10=100%	60/60=100%	8.9s	3.6s	1.3s	0.1s	3.9s	
		3	190	10/10 = 100%	60/60 = 100%	2.8m	1.9m	25.4s	1.7s	25.5s	nder
AvlTree		1	2	7/8=87%	38/42 = 90%	1.1s	0.0s	0.3s	0.0s	0.3s	
Ŀ	findMax	2	5	8/8=100%	41/42 = 97%	2.7s	0.3s	0.3s	0.0s	0.9s	
A V		3	20	8/8=100%	41/42 = 97%	21.3s	10.3s	2.2s	0.7s	4.1s	
	insert	1	4	12/18 = 66%	119/270 = 44%	2.0s	0.3s	0.3s	0.0s	0.5s	
		2	21	18/18 = 100%	270/270 = 100%	8.9s	2.1s	1.4s	0.3s	3.4s	
		3	190	18/18=100%	270/270 = 100%	3.3m	2.2m	31.7s	2.2s	23.7s	
		1	8	6/6=100%	73/73 = 100%	1.5s	0.4s	0.3s	0.0s	1.7s	
2	add	2	8	6/6=100%	73/73 = 100%	1.2s	0.2s	0.1s	0.1s	1.5s	
U Ct		3	8	6/6=100%	73/73=100%	1.3s	0.2s	0.4s	0.2s	1.6s	
S ∣	indexOf	1	6	10/10 = 100%	41/41 = 100%	1.0s	0.1s	0.2s	0.1s	1.0s	
Ę.		2	7	10/10=100%	41/41=100%	1.2s	0.2s	0.2s	0.2s	1.2s	
java.util.Vector		3	7	10/10=100%	41/41=100%	0.8s	0.1s	0.3s	0.0s	1.7s	
ava	removeElementAt	1	3	7/8=87%	70/71 = 98%	0.5s	0.1s	0.1s	0.2s	0.2s	
·		2	5	8/8=100%	71/71=100%	1.1s	0.3s	0.1s	0.2s	0.5s	
		3	9	8/8=100%	71/71=100%	1.5s	0.3s	0.3s	0.1s	1.4s	
		1	2	5/6 = 83%	32/35 = 91%	0.5s	0.0s	0.1s	0.1s	0.4s	
ap	lastKey	2	6	6/6=100%	35/35 = 100%	3.2s	0.6s	0.4s	0.4s	1.1s	
java util TreeMap		3	31	6/6=100%	35/35 = 100%					1000/	
ree	put	1	10	12/52 = 23%	133/500 = 27%	K=2	is end	ough to	alve	100%	
		2	78	40/52 = 76%	470/500 = 94%			U	0		
E E		3	1023	42/52 = 80%	478/500=95%	bran	ch co	verage	tor m	OST	
	remove	1	5	13/86 = 15%	105/684 = 15%						
eí		2	43	45/86 = 52%	347/684 = 51%	exan	npies,	WITH TI	ime re	equired ur	าaer
		3	579	70/86=81%	640/684=93%						
	GC.mark	1	306	12/12 = 100%	65/65 = 100%	<i>9sec</i>	(DUT	usualiy	' ONIY .	2-3secs)	

# **Experiment Data**

Class Method			States			Cases		Total (Time	Theorem Prover Time		
	k	Lazy	Lazier	Lazier#	Lazy	Lazier	Lazier#	NASA/IPF	azier# Lazy	Laz Kiasan	
find	1				5	4	4.	,			
										3.9s 2.5s	
	-							3.58	2.18	1.1s	
insert					-						
	3	11036507	542929	422049	275	190	190	22.7s	17.1s	5.0s	
	1	6097	5521	1621	13	12	4	50.1m	16 Am	1.5m	
insert					112		21	JU.III	10.4111	1.3111	
	-				2161			24s	2 55	1.3s	
remove				Bil	BinarySearchTree						
Terriove	3	3031511	1599087	19//38	2101	1008	230	22.4s	14.5s	5.1s	
find	1	4890	4301	1162	13	12	4	12.0	12.0	1.0	
	2	89819		10443	126	98	21	43.0m	13.8m	1.3m	
								210	2.00	0.8s	
push							2	2.15	2.95	0.05	
					8	8	4	23.1s	16.38	4.9s	
рор	1	196	196	189	2	2	2				
	2	425	387	377	3	3	3	55.5m	15.7m	1.4m	
	3	770			4	4		0110 0100		0100	
get	-						4	4.28	2.18	1.6s	
remove	-							16.0s	10.3s	7.78	
		74892	37832	17081	73	43	28	7.01	2.1	2.0	
	3	17631620	1166311	472985	1075	579	331	/.0n	3.1m	2.0m	
lastKey					2	2	2			1.48	
				761	Hil T.		2				
	-			10			-	16 Os	12 2c	<u>5 8 c</u>	
add		2932	1514	47	RedF	Black	Tree)	<b>7</b> 11		1.0	
	3	10990	2906	59	, coal			5.1h	/.6m	1.9m	
indexOf	1	644	588		7	6	6	0.76			
							7	0.78	0.48	0.68	
	3				44			7.78	2.58	3.6s	
removeElementAt	2	382	320	257	6	5					
	3	999	566	318	16	9	5	27.0m	27.9s	30.3s	
	insert insert remove find push pop get get lastKey add indexOf	find       1         insert       1         insert       2         insert       1         insert       2         insert       2         insert       2         insert       1         remove       1         find       2         find       2         j       1         push       1         pop       1         get       1         get       2         j       1         get       1         get       1         add       1         indexOf       1         indexO	find         1         3271           find         2         48244           3         10944306           insert         2         56832           3         11036507           insert         2         91691           3         3349343           1         4146           remove         2         91691           3         3349343           1         4146           remove         2         74896           3         3031511           find         2         89819           3         3322839         3           find         2         1466           3         3822839         3           push         2         1466           3         2450         3           pop         2         425           j         196         3           pop         2         425           j         20707094         3           get         2         74892           j         17631620         3           j         1219         3524450           j         3	find         1         3271         2420           find         2         48244         23807           3         10944306         459718           insert         2         56832         31905           3         11036507         542929           insert         2         91691         63931           3         3349343         1855571           2         91691         63931           3         3349343         1855571           3         3349343         1855571           4         4146         3693           74896         49422         3           3         3031511         1599087           1         4890         4301           2         74896         49422           3         3031511         1599087           41         4890         4301           2         74896         49422           3         3031511         1599087           41         4890         4301           2         88819         57292           3         32450         22600           909         2         425	ind         1         3271         2420         1864           find         2         48244         23807         18800           3         10944306         459718         351798           insert         2         56832         31905         25702           3         11036507         542929         422049         42204           insert         2         91691         63931         12551           3         3349343         1855571         234595           remove         2         74896         49422 <b>Bi</b> remove         2         74896         49422 <b>Bi</b> find         2         89819         57292         10443           3         3031511         1599087         197735           find         2         89819         57292         10443           3         32450         2260         1119           push         2         1466         1390         687           3         2450         2260         1119           get         2         85601         27489         17440           3         20707094         774545	find         1         3271         2420         1864         5           find         2         48244         23807         18800         29           3         10944306         459718         351798         275           insert         2         56832         31905         25702         29           3         11036507         542929         422049         275           insert         2         91691         63931         12551         112           3         3349343         185571         234595         2161           remove         2         74896         49422         BinaryS           1         4890         4301         1162         13           1         4890         4301         1162         13           1         4890         4301         1162         13           10         2         89819         57292         10443         126           3         3031511         1599087         197738         2101           1         4890         22060         1119         8           push         2         14666         1390         687         6	find         1         3271         2420         1864         5         4           3         10944306         459718         351798         275         190           insert         2         56832         31905         25702         29         21           3         11036507         542929         422049         275         190           insert         2         56832         31905         25702         29         21           insert         2         91691         63931         12551         112         94           3         3349343         1855571         23495         2161         1668           remove         2         74896         49422         BinarySearch           1         4146         3693         3331511         19909         12         12           find         2         89819         57292         10443         126         98           1         758         758         374         4         4           push         2         14666         1390         667         6           3         20707094         77484         17400         62         40	ind         1         3271         2420         1864         5         4         4           find         2         48244         23807         18800         29         21         21           3         10944306         459718         351798         275         190         190           insert         2         56832         31905         25702         29         21         21           3         1036507         542929         422049         275         190         190           insert         2         91691         63931         12551         112         94         21           insert         2         91691         63931         12551         112         94         21           insert         2         74896         49422         BinarySearchTree         21         24           find         2         89819         57292         10443         126         98         24           jush         1         758         758         374         4         4         2           push         2         1466         1390         687         6         6         3	ind         1         3271         2420         1864         5         4         4         4         7/23/977           ind         2         48244         23807         18800         29         21         21         8.9s         7.2s           insert         2         56832         31005         25702         29         21         24         3.55s           insert         2         56832         31005         25702         29         21         24         3.55s         22.7s         50.1m           insert         2         91691         63931         1251         112         24         24         50.1m           3349343         185571         224595         2161         1668         236         2.4s         2.4s           find         2         74896         4942         3.031511         159087         197738         2.101         1000         2.30         2.4s         2.1s         2.4s <td>find         1         3271         2420         1864         5         4         4         7         728         6.9         2.8           insert         1         4719         3841         303         5         4         4         4         3.5S         2.1S         3.5S         2.8           insert         2         6097         5521         1621         13         12         4         4         22.7S         17.1S         16.4m           insert         2         91691         6393         1251         13         12         4         50.1m         16.4m           3         349433         185571         224395         2161         1668         236         22.7s         17.1s         50.1m         16.4m           3         349433         185571         24395         2161         1668         236         22.4s         2.5s         22.4s         2.4s         2.5s           remove         2         74896         94922         BinarySearchTree         2         2.4s         2.5s         22.4s         14.5s           10         2         89819         57792         10443         126         98         21</td>	find         1         3271         2420         1864         5         4         4         7         728         6.9         2.8           insert         1         4719         3841         303         5         4         4         4         3.5S         2.1S         3.5S         2.8           insert         2         6097         5521         1621         13         12         4         4         22.7S         17.1S         16.4m           insert         2         91691         6393         1251         13         12         4         50.1m         16.4m           3         349433         185571         224395         2161         1668         236         22.7s         17.1s         50.1m         16.4m           3         349433         185571         24395         2161         1668         236         22.4s         2.5s         22.4s         2.4s         2.5s           remove         2         74896         94922         BinarySearchTree         2         2.4s         2.5s         22.4s         14.5s           10         2         89819         57792         10443         126         98         21	

Table 1. Experiment Data (excerpts); s - seconds; m - minutes; h - hours

# **jCute Comparison (excerpts)**

#### **Binary Search (remove)**

- Time: 2.5 mins to achieve 15/16 branch coverage compared to 1.3 mins for Kiasan
- This is the typical comparative behavior for examples that include nontrivial for non-complex heap manipulation.

#### AVL (remove)

 Time: only able to achieve 14/18 branch coverage (time out after 1 hour) while Kiasan obtains 18/18 coverage in 8.9 secs.

#### Red Black Tree (remove)

• Time: only able to achieve 16/73 (feasible) branch coverage (time out after 1 hour) while Kiasan obtains 70/73 coverage in 1.9 mins.

...and recall that Kiasan is giving stronger heap configuration coverage in examples above.

## **Summary**

- Automated contract checking for strong heap properties
  - provides a significant increment to ESC-Java-like checking
  - concise summaries of behavior from which other artifacts (including tests) can be derived
- Several nice methodological approaches
  - controllable costs/coverage
  - gradual transition from light to heavyweight specs
- Integrated unit test case generation
  - leverages contracts to prune tests
  - limitations
    - test cases phrased via reflection, not class APIs
    - engineering issues to be addressed before yielding a deployable tool
- Extends to a variety of different policies regarding heap sharing/partitioning, etc.
- Scalability is reasonable and getting better all the time
- See 72-page tech report for correctness proofs (including minimality results) and all experimental data

## For More Information...



SAnToS Laboratory, Kansas State University http://www.cis.ksu.edu/santos



Bogor/Kiasan Project http://bogor.projects.cis.ksu.edu

# **Kiasan Methodology (Vision)**

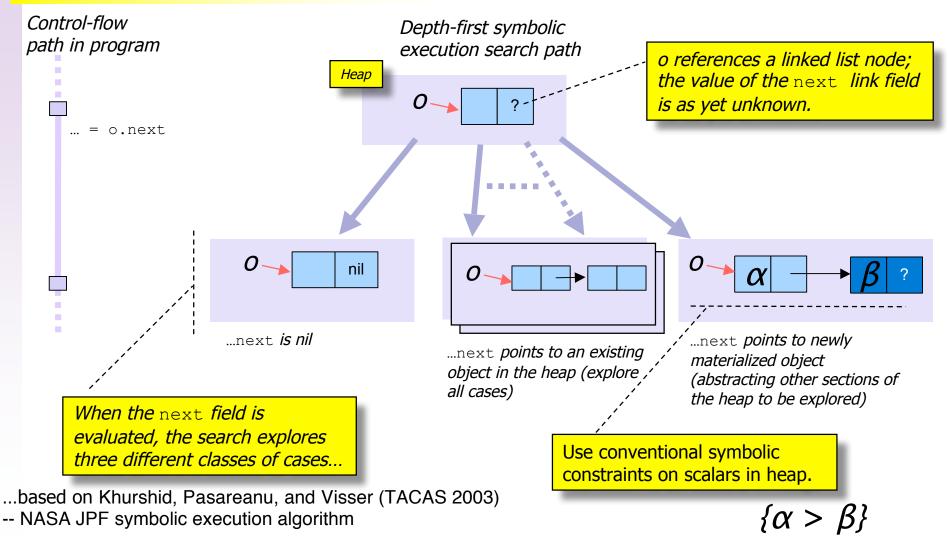


- Code understanding
  - select any block of code, Kiasan generates flow scenarios giving path coverage
- Test case generation for regression testing
  - automatically generate tests from code
  - incrementally add tests as changes are made
- Specifications are leveraged for static checking, code understanding/inspection, test case generation, and doc.

- Checking in IDE
  - start with small bounds
  - incrementally check
  - scenario and test case generation for violations
- More exhaustive checking
  - higher bounds with overnight/parallel checking
  - Kiasan tells you if coverage criteria has been met

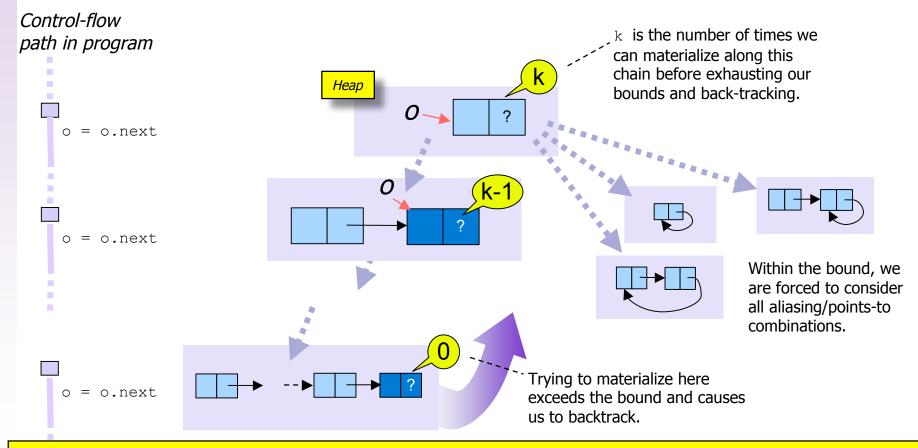
# **Handling Heap Data**

#### Basic concepts...



# **Bounding for Heap Coverage**

Kiasan uses a unique notion of bounding that focuses on achieving coverage of heap configurations



*k-bound:* backtrack in search when length of reference chain from original root would be greater than k

### Handling Objects using Lazy Initialization (*k* = 2): LinkedList

